



PTO/SB/21 (05-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/438,185
	Filing Date	November 11, 1999
	First Named Inventor	Stephens, Richard S.
	Art Unit	1645
	Examiner Name	Duffy, Patricia A.
Total Number of Pages in This Submission	Attorney Docket Number	018941-000411US

**ENCLOSURES (Check all that apply)**☒ Fee Transmittal Form☐ Fee Attached☒ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☒ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☒ Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals  
and Interferences☐ Appeal Communication to Group (Appeal  
Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):Return Postcard, 1260 pages of paper copy of  
sequence listing

Remarks

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Firm or Individual	Townsend and Townsend and Crew LLP Elizabeth R. Sampson	Reg. No. 52,190
Signature	<i>Elizabeth R. Sampson</i>	
Date	9/3/03	

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Typed or printed name	Patricia Andrews	Date	Sept. 3, 2003
Signature	<i>Patricia Andrews</i>		

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**FEE TRANSMITTAL**  
**for FY 2003**  
Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 55

Application Number 09/438,185  
Filing Date November 11, 1999  
First Named Inventor Stephens, Richard S.  
Examiner Name Duffy, Patricia A.  
Art Unit 1645  
Attorney Docket No. 018941-000411US

Complete if Known

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit Card ☐ MoneyOrder ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

20-1430

Deposit  
Account  
Name

Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	1001	750		2001	375	Utility filing fee
	1002	330		2002	165	Design filing fee
	1003	520		2003	260	Plant filing fee
	1004	750		2004	375	Reissue filing fee
	1005	160		2005	80	Provisional filing fee

SUBTOTAL (1)

(\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fees from below	Fee Paid
Independent Claims			
Multiple Dependent			

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	1202	18		2202	9	Claims in excess of 20
	1201	84		2201	42	Independent claims in excess of 3
	1203	280		2203	140	Multiple dependent claim, if not paid
	1204	84		2204	42	** Reissue independent claims over original patent
	1205	18		2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large	Fee Code	Fee (\$)	Small	Fee Code	Fee (\$)	Fee Description
	1051	130		2051	65	Surcharge - late filing fee or oath
	1052	50		2052	25	Surcharge - late provisional filing fee or cover sheet.
	1053	130		2053	130	Non-English specification
	1812	2,520		2812	2,520	For filing a request for reexamination
	1804	920*		2804	920*	Requesting publication of SIR prior to Examiner action
	1805	1,840*		2805	1,840*	Requesting publication of SIR after Examiner action
	1251	110		2251	55	Extension for reply within first month
	1252	410		2252	205	Extension for reply within second month
	1253	930		2253	465	Extension for reply within third month
	1254	1,450		2254	725	Extension for reply within fourth month
	1255	1,970		2255	985	Extension for reply within fifth month
	1401	320		2401	160	Notice of Appeal
	1402	320		2402	160	Filing a brief in support of an appeal
	1403	280		2403	140	Request for oral hearing
	1451	1,510		2451	1,510	Petition to institute a public use proceeding
	1452	110		2452	55	Petition to revive - unavoidable
	1453	1,300		2453	650	Petition to revive - unintentional
	1501	1,300		2501	650	Utility issue fee (or reissue)
	1502	470		2502	235	Design issue fee
	1503	630		2503	315	Plant issue fee
	1460	130		2460	130	Petitions to the Commissioner
	1807	50		2807	50	Petitions related to provisional applications
	1806	180		2806	180	Submission of Information Disclosure Stmt
	8021	40		28021	40	Recording each patent assignment per property (times number of properties)
	1809	750		2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))
	1810	750		2810	375	For each additional invention to be examined (37 CFR § 1.129(b))
	1801	750		2801	375	Request for Continued Examination (RCE)
	1802	900		2802	900	Request for expedited examination of a design application

Other fee (specify)

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

(\$)55

**SUBMITTED BY**

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Signature

*Elizabeth R. Sampson*

Date

9/3/03

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 60031491 v1  
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